



Investor awareness Policy

VER: 2.0.1

SEBI Reg No: INZ000074139

MCX Trading Member ID- 55060

NSE Trading Member ID – 90175

Maitra Commodities Pvt Ltd.

Investor Awareness

Safe Trading Measures

1. Avoid Off-exchange trading. They are both risky and illegal.
2. Do not expect unrealistic / guaranteed returns.
3. Do your own homework to trade in them successfully.
4. Read and understand the client agreement before signing it.
5. Trade within your investment and risk taking capacity
6. Knowledge of physical markets of the commodities that you invest in is essential to make informed decision.
7. Trade only with SEBI registered broker / depository participant (DP).
8. Update your KYC records on any change periodically with your brokers.(Change in income, change in address, phone numbers).

Financial Compliance Measures

1. Insist on Contract notes (e-contract notes , physical contract notes) for your executed trades.
2. Keep verified records of all market transactions
3. Insist on periodical statement of ledger accounts.
4. Conduct transactions only through cheque / NEFT / RTGS from registered / designated account.
5. Insist on and verify records of all trades executed through your UCC.
6. Invest only after adequate research / analysis.
7. Pay the broker by cheque (including margins), on brokers name, insist on receipts and keep these carefully and understand that you are entitled to receive funds within 48 hours of Exchange's pay-out.
8. Take a holistic view of your financial goals and invest accordingly.
9. Keep track your portfolio in your demat a/c on a regular basis.

For Support

10. In case not satisfied with the response from the broker, please contact the concerned exchanges.

	Phone No.	Fax No.	Email ID	Website
Multi Commodity Exchange of India Ltd. (MCX)	(022) 6731 8888	(022) 6649 4151	grievance@mcxindia.com	www.mcxindia.com
National Stock Exchange of India Ltd. (NSE)	(022) 2659 8100	(022) 2659 8120	ignse@nse.co.in	www.nseindia.com
BSE Ltd. (BSE)	(022) 2272 1234	(022) 2272 1003	is@bseindia.com	www.bseindia.com

You can file your compliants online at **<http://scores.gov.in>** or alternately send your compliants to Office of Investor Assistance and Education of SEBI at Mumbai or Regional Offices: For more information visit website - <http://scores.gov.in>

To educate the investor about the Do's and Donts' of trading about consequences of Dabba Trading. Do's and Donts' available at our website: maitracommodities.com >Investor Charter